### A Cubical Type Theory

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# Cubical Type Theory: Overview

- Type theory where we can directly argue about *n*-dimensional cubes (points, lines, squares, cubes, ....).
- Based on a *constructive* model of type theory in cubical sets with connections and diagonals.
- Π, Σ, data types, U
- path types and identity types
- The Univalence Axiom and function extensionality are provable.
- Some higher inductive types with "good" definitional equalities

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#### Basic Idea

Expressions may depend on *names*  $i, j, k, \ldots$  ranging over an interval I. E.g.,

$$x : A, i : \mathbb{I}, y : B(i, x) \vdash u(x, i) : C(x, i, y)$$

is a line connecting the two points

$$\begin{array}{l} x:A,y:B(0,x)\vdash u(x,0):C(x,0,y)\\ x:A,y:B(1,x)\vdash u(x,1):C(x,1,y) \end{array}$$

Each line  $i : \mathbb{I} \vdash t(i) : A$  gives an equality

 $\vdash \langle i \rangle t(i)$  : Path A t(0) t(1)

#### The Interval ${\mathbb I}$

- Given by  $r, s ::= 0 | 1 | i | 1 i | r \land s | r \lor s$
- *i* ranges over *names* or *symbols*
- ▶ Intuition: *i* an element of [0, 1],  $\land$  is min, and  $\lor$  is max.
- ► Equality is the equality in the free bounded distributive lattice with generators i, 1 - i.
- De Morgan algebra via

$$\begin{array}{ll} 1-0=1 & 1-(r\wedge s)=(1-r)\vee(1-s) \\ 1-1=0 & 1-(r\vee s)=(1-r)\wedge(1-s) \\ & 1-(1-i)=i \end{array}$$

**NB:**  $i \land (1-i) \neq 0$  and  $i \lor (1-i) \neq 1!$ 

#### Overview of the Syntax

```
A, B, a, b, u, v ::= x
                                                               variables
                    |(x:A) \rightarrow B | \lambda x: A. u | uv
                                                               Π-types
                    |(x:A) \times B|(u,v)| u.1 | v.2
                                                               \Sigma-types
                     | U
                                                               universe
                     Path A a b
                                                               path types
                     |\langle i \rangle u
                                                               name abstraction
                                                               interval application
                     ur
                     | comp<sup>i</sup> A u ū
                                                               composition
                     | Glue A  ū  | (a, ū)
                                                               glueing
                     | . . .
                                                               data types...
```

### Contexts and Substitutions

#### Contexts

$$\frac{\Gamma \vdash A}{() \vdash} \qquad \frac{\Gamma \vdash A}{\Gamma, x : A \vdash} \qquad \frac{\Gamma \vdash}{\Gamma, i : \mathbb{I} \vdash}$$

Substitutions are as usual but we also allow to assign an element in the interval to a name:

$$\frac{\sigma: \Delta \to \Gamma \qquad \Delta \vdash r: \mathbb{I}}{(\sigma, i = r): \Delta \to \Gamma, i: \mathbb{I}}$$

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#### **Face Operations**

Certain substitutions correspond to face operations. E.g.:

$$(x = x, i = 0, y = y): (x : A, y : B(i = 0)) \rightarrow (x : A, i : \mathbb{I}, y : B)$$

In general a face operation are  $\alpha \colon \Gamma \alpha \to \Gamma$  setting some names to 0 or 1 and otherwise the identity.

Faces are determined by all the assignments i = b,  $b \in \{0, 1\}$ ; write

$$\alpha = (i_1 = b_1) \dots (i_n = b_n)$$

(Special case:  $\alpha = id$ )

### Basic Typing Rules

$$\frac{\Gamma \vdash}{\Gamma \vdash x : A} \quad (x : A \text{ in } \Gamma) \qquad \frac{\Gamma \vdash}{\Gamma \vdash i : \mathbb{I}} \quad (i : \mathbb{I} \text{ in } \Gamma)$$
$$\frac{\Gamma, x : A \vdash B}{\Gamma \vdash (x : A) \to B} \qquad \frac{\Gamma, x : A \vdash v : B}{\Gamma \vdash \lambda x : A \cdot v : (x : A) \to B}$$
$$\frac{\Gamma \vdash w : (x : A) \to B \quad \Gamma \vdash u : A}{\Gamma \vdash w u : B(x = u)}$$

Also: Sigma types and data types ...

# Path Types

$$\frac{\Gamma \vdash A \qquad \Gamma \vdash a : A \qquad \Gamma \vdash b : A}{\Gamma \vdash \operatorname{Path} A a b}$$

$$\frac{\Gamma \vdash A \qquad \Gamma, i : \mathbb{I} \vdash u : A}{\Gamma \vdash \langle i \rangle u : \operatorname{Path} A u(i = 0) u(i = 1)}$$

$$\frac{\Gamma \vdash w : \operatorname{Path} A a b \qquad \Gamma \vdash r : \mathbb{I}}{\Gamma \vdash w r : A} \qquad (\langle i \rangle u) r = u(i = r) \\ \langle i \rangle ui = u$$

$$\frac{\Gamma \vdash w : \operatorname{Path} A a b}{\Gamma \vdash w 0 = a : A} \\ \Gamma \vdash w 1 = b : A$$

# Path Type

▶ Reflexivity a : A ⊢ refl a : Path A a a is given by the constant path

$$\operatorname{refl} a = \langle i \rangle a$$

• Singletons are contractible: for a : A and  $S_a = (x : A) \times (Path A a x)$  we have

 $\langle i \rangle (p i, \langle j \rangle p (i \land j))$ : Path  $S_a(a, \text{refl } a)(x, p)$ 

for  $(x, p) : S_a$ .

## Function Extensionality

For 
$$f$$
 and  $g$  of type  $C = (x : A) \rightarrow B$  and  
 $w : (x : A) \rightarrow Path B(f x)(g x)$  we have

 $\langle i \rangle \lambda x : A. w x i : Path C f g$ 

Given  $i : \mathbb{I} \vdash A$  we want an equivalence between A(i0) and A(i1).

Require additional composition operations.

Refinement of Kan's extension condition (1955)

"Any open box can be filled"

#### Systems

A system

$$\vec{u} = [\alpha \mapsto u_{\alpha}]$$

for  $\Gamma \vdash A$  is given by a family of *compatible* terms

$$\Gamma \alpha \vdash u_{\alpha} : A\alpha$$

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( $\alpha$  ranging over a set of faces L, L downwards closed)

A system

$$\Gamma \alpha \vdash u_{\alpha} : A\alpha \quad (\alpha \in L)$$

can be considered as *partial element* of  $\Gamma \vdash A$  with *extent L*. We call  $\vec{u}$  connected if there is a  $\Gamma \vdash u : A$  such that:

$$\Gamma \alpha \vdash u\alpha = u_{\alpha} : A\alpha$$

For example, if L is generated by the faces

$$(i = 0), (i = 1), (j = 0), (j = 1)$$

then a system corresponds to a boundary of a square. It is connected if the boundary of the square can be filled.

# Compositions

$$\frac{\Gamma, i: \mathbb{I} \vdash A \qquad \Gamma \vdash u: A(i=0) \qquad \Gamma\alpha, i: \mathbb{I} \vdash u_{\alpha}: A\alpha \quad (\alpha \in L)}{\Gamma\alpha \vdash u\alpha = u_{\alpha}(i=0): A\alpha(i=0)} \\
\frac{\Gamma \vdash \mathsf{comp}^{i} A \, u \, \vec{u}: A(i=1)}{\Gamma \vdash \mathsf{comp}^{i} A \, u \, \vec{u}: A(i=1)}$$

$$(\operatorname{comp}^{i} A \, u \, \vec{u})\alpha = u_{\alpha}(i = 1) \quad \text{if } \alpha \in L$$
$$(\operatorname{comp}^{i} A \, u \, \vec{u})\sigma = \operatorname{comp}^{j} A(\sigma, i = j) \, u\sigma \, \vec{u}(\sigma, i = j)$$



There is also an operation

$$\Gamma, i : \mathbb{I} \vdash \operatorname{fill}^i A \, u \, \vec{u} : A$$

connecting u to comp<sup>*i*</sup>  $A u \vec{u}$ . This can be defined using compositions:

$$\mathsf{fill}^{i} A(i) u \, \vec{u}(i) = \mathsf{comp}^{j} A(i \wedge j) \, u \, [\alpha \mapsto u_{\alpha}(i \wedge j), (i = 0) \mapsto u]$$

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Special case: path lifting property  $(\vec{u} = [])$ 

## Composition

```
\operatorname{comp}^{i} A \, u \, \vec{u} is defined by induction on the type A:
   • Case i : \mathbb{I} \vdash A = \text{Path } B b_0 b_1.
          \operatorname{comp}^{i} A u \vec{u} =
                  \langle j \rangle \operatorname{comp}^{i} B(uj) [\alpha \mapsto u_{\alpha}j, (j=0) \mapsto b_{0}, (j=1) \mapsto b_{1}]
   • Case i : \mathbb{I} \vdash A = (x : B) \rightarrow C. For b_1 : B(i_1)
           \operatorname{comp}^{i} A f \vec{g} b_{1} = \operatorname{comp}^{j} C(i = j, x = b) (f b_{0}) (\vec{g}(i = j) b)
       with b = \text{fill}^{-j} B(i = j) b_1 and b_0 = b(j = 0) : B(i = 0).
```

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Judgmental equalities are given by unfolding the definitions.

#### Glue

To justify composition for U and univalence we add glueing.

Given a system of equivalences on a type we introduce a new type:

$$\frac{\Gamma \vdash A \qquad \Gamma \alpha \vdash f_{\alpha} : \text{Equiv } T_{\alpha} A \alpha \quad (\alpha \in L)}{\Gamma \vdash \text{Glue } A \vec{f}}$$

$$\frac{\Gamma \vdash \mathbf{a} : A \qquad \Gamma \alpha \vdash t_{\alpha} : T_{\alpha} \qquad \Gamma \alpha \vdash f_{\alpha} t_{\alpha} = \mathbf{a} \alpha : A \alpha}{\Gamma \vdash (\mathbf{a}, \vec{t}) : \mathsf{Glue} A \vec{f}}$$

$$\begin{aligned} (\mathsf{Glue}\,A\,\vec{f})\alpha &= T_{\alpha} & (a,\vec{t})\alpha &= t_{\alpha} & \text{if } \alpha \in L \\ (\mathsf{Glue}\,A\,\vec{f})\sigma &= \mathsf{Glue}\,A\sigma\,\vec{f}\sigma & (a,\vec{t})\sigma &= (a\sigma,\vec{t}\sigma) \end{aligned}$$

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We also can define composition for Glue  $A \vec{f}$ .

For the universe U, we can reduce composition in U to Glue.

Any path P : Path U AB induces an equivalence  $P^+$  : Equiv AB whose function part is given by:

 $a: A \vdash \operatorname{comp}^{i}(P i) a[]: B$ 

### Univalence from Glue

Using Glue we can also prove the Univalence Axiom! Main ingredients:

Given an equivalence f : Equiv AB we can construct a path E<sub>f</sub> : Path U AB by

$$E_f = \langle i \rangle$$
 Glue  $B[(i = 0) \mapsto f, (i = 1) \mapsto (\langle k \rangle B)^+]$ 

• Starting from P : Path U AB we can also construct a square

Path (Path U A B)  $E_{P^+}$  P

using Glue:

$$\begin{array}{l} \langle j \, i \rangle \ \, \mathsf{Glue} \, B \, [(i=0) \mapsto P^+, \\ (i=1) \mapsto (\langle k \rangle B)^+, \\ (j=1) \mapsto (\langle k \rangle P \, (i \lor k))^+ \end{array}$$

# Identity Types

For the path type Path A u v we can define the J-eliminator. But: the usual definitional equality only holds propositional.

Recently, Andrew Swan found a way to recover an identity type Id A u v (based on a cofibration/trivial fibration factorization). This identity type interprets the definitional equality for J!

# Identity Types

$$\frac{\Gamma \vdash w : \operatorname{Path} A \, u \, v}{\Gamma \alpha \vdash w \alpha = \langle i \rangle \, u \alpha : \operatorname{Path} A \alpha \, u \alpha \, v \alpha \ (\alpha \in L)}{\Gamma \vdash (w, L) : \operatorname{Id} A \, u \, v}$$

The system L remembers where w is constant.

For u : A define refl u as  $(\langle i \rangle u, 1)$ , where 1 is the maximal system generated by the identity.

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One can define J with the usual definitional equality!

We expect univalence also to hold for Id.

### Implementation: Cubicaltt

Prototype proof-assistant implemented in Haskell.

Based on: "A simple type-theoretic language: Mini-TT", T. Coquand, Y. Kinoshita, B. Nordström, M. Takeya (2008).

Mini-TT is a variant of Martin-Löf type theory with data types. Cubicaltt extends Mini-TT with:

- name abstraction and application
- identity types
- composition
- equivalences can be transformed into equalities (glueing)
- some higher inductive types (experimental)

Try it: https://github.com/mortberg/cubicaltt

### Further Work

- Formal correctness proof of model and implementation
- Proof of normalization and decidability of type-checking
- Related work: Brunerie/Licata, Polonsky, Altenkirch/Kaposi, Bernardy/Coquand/Moulin

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#### Semantics

Consider the category  $C_{dM}$  with objects finite sets of names  $I, J, K, \ldots$  and a morphism  $I \to J$  is a map  $J \to dM(I)$  where dM(I) is the free De Morgan algebra on the generators I.

A context  $\Gamma \vdash$  is a presheaf on C, i.e.,

- given by sets  $\Gamma(I)$  for each I,
- ▶ and maps  $\Gamma(J) \rightarrow \Gamma(I), \rho \mapsto \rho f$  for each  $f: I \rightarrow J$  s.t.

$$(
ho f)g = 
ho(fg)$$
 and  $ho \operatorname{id} = 
ho$ 

The interval I is interpreted as the presheaf I(J) = dM(J).

### Semantics

A type  $\Gamma \vdash A$  is given by a presheaf on the category of elements of  $\Gamma$ , i.e.,

- given by a family of sets  $A\rho$  for each  $\rho \in \Gamma(I)$ ,
- ▶ and maps  $A\rho \rightarrow A(\rho f)$ ,  $a \mapsto af$  s.t.

$$(af)g = a(fg)$$
 and  $a \operatorname{id} = a$ 

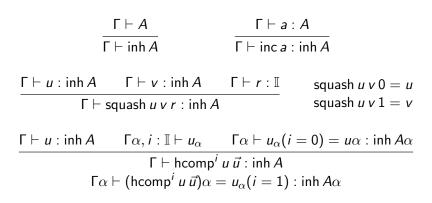
Moreover, we require a *composition structure*: for each  $\rho \in \Gamma(I, i)$ , family of compatible elements  $u_{\alpha} \in A\rho\alpha$  ( $\alpha \in L$ , *i* not in  $\alpha$ ), and  $u \in A\rho(i = 0)$  s.t.  $u\alpha = u_{\alpha}(i = 0)$ , there is

$$\operatorname{comp}^{i}(A
ho) \, u \, ec{u} \ \in \ A
ho(i=1)$$

such that

$$(\operatorname{comp}^{i}(A\rho) \, u \, \vec{u})f = \operatorname{comp}^{j}(A\rho(f, i = j)) \, uf \, \vec{u}f \qquad \text{for } f \colon I \to J$$
  
 $(\operatorname{comp}^{i}(A\rho) \, u \, \vec{u})\alpha = u_{\alpha}(i = 1)$ 

Examples of HITs: Propositional Truncation



One can define compositions for inh A (uses compositions in A).